P/ FNT COOPERATION TREAT

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NOTIFICATION OF ELECTION

(PCT Rule 61.2)

From the INTERNATIONAL BUREAU

To:

Commissioner **US Department of Commerce** United States Patent and Trademark Office, PCT 2011 South Clark Place Room CP2/5C24 Arlington, VA 22202

ETATS-UNIS D'AMERIQUE

Date of mailing (day/month/year) 08 February 2001 (08.02.01)	ETATS-UNIS D'AMERIQUE in its capacity as elected Office
International application No. PCT/IB00/00408	Applicant's or agent's file reference
International filing date (day/month/year) 14 March 2000 (14.03.00)	Priority date (day/month/year) 15 March 1999 (15.03.99)
Applicant POPOV, Sergey	

	10.01,00.90
1.	The designated Office is hereby notified of its election made:
	X in the demand filed with the International Preliminary Examining Authority on:
	10 October 2000 (10.10.00)
	in a notice effecting later election filed with the International Bureau on:
2.	The election X was
	was not
	made before the expiration of 19 months from the priority date or, where Rule 32 applies, within the time limit under Rule 32.2(b).
	•

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland

Authorized officer

Juan Cruz

Telephone No.: (41-22) 338.83.38

Facsimile No.: (41-22) 740.14.35

PATENT COOPERATION TREATY

PCT

NOTIFICATION OF RECEIPT OF RECORD COPY

(PCT Rule 24.2(a))

From the INTERNATIONAL BUREAU

To:

GOLDSTEIN, Stuart, M.
Hollstein Keating Cattell Johnson
& Goldstein P.C.
Willow Ridge Executive Office Park
Suite 301
750 Route 73 South
Marlton, NJ 08053
ETATS-UNIS D'AMERIQUE

Date of mailing (day/month/year) 24 May 2000 (24.05.00)	IMPORTANT NOTIFICATION		
Applicant's or agent's file reference	International application No. PCT/IB00/00408		

The applicant is hereby notified that the International Bureau has received the record copy of the international application as detailed below.

Name(s) of the applicant(s) and State(s) for which they are applicants:

POPOV, Sergey (all designated States)

International filing date

14 March 2000 (14.03.00)

Priority date(s) claimed

15 March 1999 (15.03.99)

Date of receipt of the record copy by the International Bureau

02 May 2000 (02.05.00)

List of designated Offices

AP:GH,GM,KE,LS,MW,SD,SL,SZ,TZ,UG,ZW

EA:AM,AZ,BY,KG,KZ,MD,RU,TJ,TM

EP:AT,BE,CH,CY,DE,DK,ES,FI,FR,GB,GR,IE,IT,LU,MC,NL,PT,SEOA:BF,BJ,CF,CG,CI,CM,GA,GN,GW,ML,MR,NE,SN,TD,TG

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ATTENTION

The applicant should carefully check the data appearing in this Notification. In case of any discrepancy between these data and the indications in the international application, the applicant should immediately inform the International Bureau.

In addition, the applicant's attention is drawn to the information contained in the Annex, relating to:

 \square

time limits for entry into the national phase

| X |

confirmation of precautionary designations

X

requirements regarding priority documents

A copy of this Notification is being sent to the receiving Office and to the International Searching Authority.

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland

Authorized officer:

Maria Victoria CORTIELLO

Telephone No. (41-22) 338.83.38

Facsimile No. (41-22) 740.14.35

Form PCT/IB/301 (July 1998)

PATENT COOPERATION TREAT

PCT

NOTIFICATION CONCERNING AMENDMENTS OF THE CLAIMS

(PCT Rule 62 and Administrative Instructions, Section 417)

Date of mailing (day/month/year) 08 February 2001 (08.02.01)

International application No.

PCT/IB00/00408

Applicant

POPOV, Sergey

From the INTERNATIONAL BUREAU

To:

Commissioner
US Department of Commerce
United States Patent and Trademark
Office, PCT
2011 South Clark Place Room
CP2/5C24
Arlington, VA 22202
ETATS-UNIS D'AMERIQUE

in its capacity as International Preliminary Examining Authority

International filing date (day/month/year)

14 March 2000 (14.03.00)

The International Bureau hereby informs the International Preliminary Examining Authority that no amendments under Article 19 have been received by the International Bureau (Administrative Instructions, Section 417).

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland Authorized officer

Juan Cruz

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Facsimile No. (41-22) 740.14.35

(19) World Intellectual Property Organization International Bureau



(43) International Publication Date 21 September 2000 (21.09.2000)

(10) International Publication Number WO 00/54648 A3

(51) International Patent Classification7:

IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT,

(21) International Application Number: PCT/IB00/00408

A61M 5/00

(22) International Filing Date: 14 March 2000 (14.03.2000)

(25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data:

128989

15 March 1999 (15.03.1999) IL

(71) Applicant and

(72) Inventor: POPOV, Sergey [IL/IL]; P.O. Box 4583, 84144 Be'er Sheva (IL).

RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.

(84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

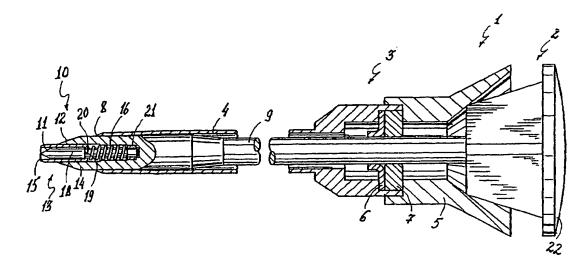
Published:

With international search report.

(88) Date of publication of the international search report: 11 January 2001

(81) Designated States (national): AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, DM, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: SAFETY TROCAR ASSEMBLY



(57) Abstract: A trocar assembly includes a low-profile retractable shield (14) deployed immediately adjacent to a cutting element (10) with a cross-sectional area which is small relative to the total cross-section of the assembly. In preferred examples, the total cross-sectional area protected by the shield element is less than about 0.4, and most preferably less than about 0.2, of the total cross-sectional area of the assembly. As a result, the shield extends itself as soon as the cutting element clears the tissue wall, prior to penetration of the remainder of the end portion of the assembly. Optionally, such a shield may be used in combination with a conventional, large-area shield to provide two-stage protection. Implementations of this principle, together with various locking mechanisms and additional features, are described in the context of various trocar configurations.





ı	A. CLASSIFICATION OF SUBJECT MATTER				
, ,	IPC(7) :A61M 5/00				
	US CL :604/164.01 According to International Patent Classification (IPC) or to both national classification and IPC				
	LDS SEARCHED				
Minimum (documentation searched (classification system follow	ed by classification symbols)			
	604/164.01, 164.02-164.07, 264; 606/185, 171-184				
L					
Documenta	ttion searched other than minimum documentation to the	e extent that such documents are included	in the fields searched		
Electronic	data base consulted during the international search (n	ame of data base and, where practicable	e, search terms used)		
ļ.					
C. DOC	CUMENTS CONSIDERED TO BE RELEVANT				
Category*	Citation of document, with indication, where ap	ppropriate of the relevant passages	Relevant to claim No.		
		, proposed of the control of the con			
A	US 5,556,411 A (TAODA et al.) 17	September 1996, see entire	1-95		
	document.				
	HC 5 600 662 A (CTEDITENC) 25 3		1.05		
Α	US 5,690,663 A (STEPHENS) 25 I	November 1997, seen entire	1-95		
	document.				
Α	US 5,246,425 A (HUNSBERGER et	al.) 21 September 1993, see	1-95		
entire document.					
Α	US 5,591,190 A (YOON) 07 January	1997, see entire document.	1-95		
· !					
i					
Furth	er documents are listed in the continuation of Box C	See patent family annex.			
• Sp	ecisi categories of cited documents	*T* later document published after the inte			
	cument defining the general state of the art which is not considered be of particular relevance	date and not in conflict with the appli the principle or theory underlying the			
	rlier document published on or after the international filing date	*X* document of particular relevance; the considered novel or cannot be consider			
	cument which may throw doubts on priority claum(s) or which is	when the document is taken alone	teo to arrowe all arrendice step		
	ed to establish the publication date of another citation or other cetal reason (as specified)	"Y" document of particular relevance; the considered to involve an inventive			
	cument referring to an oral disclosure, use, exhibition or other	combined with one or more other such being obvious to a person skilled in the	documents, such combination		
	cument published prior to the international filing date but later than	*& * document member of the same patent	family		
	actual completion of the international search	Date of mailing of the international sea	rch report		
30 SEPTE	30 SEPTEMBER 2000 13 OCT 2000				
Name and mailing address of the ISA/US Authorized officer					
Box PCT					
Washington Facsimile N	L D.C. 20231 o. (703) 305-3230	Telephone No. (703) 308-2986	0		
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INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 7: A61B	A2	(11) International Publication Number: WO 00/5464
(21) International Application Number: PCT/IB (22) International Filing Date: 14 March 2000 ((30) Priority Data: 128989 15 March 1999 (15.03.99) (71)(72) Applicant and Inventor: POPOV, Sergey [IL/IBOX 4583, 84144 Be'er Sheva (IL).	(14.03.0	BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, DM, E ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, J KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, M MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, R SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, U US, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, K LS, MW, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AI AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (A

(54) Title: SAFETY TROCAR ASSEMBLY

(57) Abstract

A trocar assembly includes a low-profile retractable shield deployed immediately adjacent to a cutting element with a cross-sectional area which is small relative to the total cross-section of the assembly. In preferred examples, the total cross-sectional area protected by the shield element is less than about 0.4, and most preferably less than about 0.2, of the total cross-sectional area of the assembly. As a result, the shield extends itself as soon as the cutting element clears the tissue wall, prior to penetration of the remainder of the end portion of the assembly. Optionally, such a shield may be used in combination with a conventional, large-area shield to provide two-stage protection. Implementations of this principle, together with various locking mechanisms and additional features, are described in the context of various trocar configurations.

FOR THE PURPOSES OF INFORMATION ONLY

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PATENT COOPERATION TREATY

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REC'D 3 1 AUG 2001

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

PCT

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference	FOR FURTHER ACTION	See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416)		
2015.00003 International application No.	International filing date (day/mo	onth/year) Priority date (day/month/year)		
PCT/IB00/00408 14 March 2000 (14.03.2000) 15 March 1999 (15.03.1999) International Patent Classification (IPC) or national classification and IPC				
IPC(7): A61M 5/00 and US Cl.: 604/16 Applicant	54.01			
POPOV, SERGEY				
This international prelimi Examining Authority and	nary examination report has be is transmitted to the applicant	en prepared by this International Preliminary according to Article 36.		
2. This REPORT consists of	f a total of 4 sheets, including t	his cover sheet.		
which have been am	ended and are the basis for this	on, sheets of the description, claims and/or drawings report and/or sheets containing rectifications made 507 of the Administrative Instructions under the PCT).		
These annexes consist of	a total of <u>5</u> sheets.			
3. This report contains indic	cations relating to the following	items:		
I Basis of the report II Priority III Non-establishment of report with regard to novelty, inventive step and industrial applicability				
II Priority				
III Non-establishn	nent of report with regard to no	ovelty, inventive step and industrial applicatility		
IV Lack of unity	of invention			
V Reasoned statement under Article 35(2) with regard to novelty, inventive step applicability; citations and explanations supporting such statement		regard to novelty, inventive step or industrial		
VI Certain docum				
VII Certain defects	s in the international application	n.		
VIII Certain observations on the international application				
Date of submission of the demand	Dat	te of completion of this report		
10 October 2000 (10.10.2000)		June 2001 (26.06.2001)		
Name and mailing address of the IPEA	1 1	Rorized officer		
Commissioner of Patents and Tradem Box PCT Washington, D.C. 20231		chael J. Hayes		
Facsimile No. (703)305-3230	Tel	ephone No. 703-308-0858		
Form DCT/IDEA/400 (cover sheet)(July	1008)			

TECHNOLOGY CENIER REVUN

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No.	-
PCT/IB00/00408	

I.	Basis f the report	
1.	With regard to the elements of the international application:*	
	the international application as originally filed.	1
	the description: pages 1-38 as originally filed pages NONE , filed with the demand pages NONE , filed with the letter of	
	the claims: pages 39-49 and 51-54 pages NONE pages NONE pages NONE pages 50,55 and 56 , as originally filed pages to page none pages 150,55 and 56 , as originally filed pages NONE pages NONE pages 50,55 and 56 , filed with the letter of 15 MAY 2001 (15.05.2001)	
•	the drawings: pages 1-25 , as originally filed pages NONE , filed with the demand pages 26, 27 , filed with the letter of 15 May 2001 (15.05.2001)	·
	the sequence listing part of the description: pages NONE, as originally filed pages NONE, filed with the demand pages NONE, filed with the letter of	
2.	With regard to the language, all the elements marked above were available or furnished to this Authority in language in which the international application was filed, unless otherwise indicated under this item. These elements were available or furnished to this Authority in the following language English which is:	the
	the language of a translation furnished for the purposes of international search (under Rule23.1(b)).	
	the language of publication of the international application (under Rule 48.3(b)).	
	the language of the translation furnished for the purposes of international preliminary examination (under 55.2 and/or 55.3).	er Rules
3.	With regard to any nucleotide and/or amino acid sequence disclosed in the international application, the international preliminary examination was carried out on the basis of the sequence listing:	
	contained in the international application in printed form.	
	filed together with the international application in computer readable form.	
	furnished subsequently to this Authority in written form.	
	furnished subsequently to this Authority in computer readable form.	
	The statement that the subsequently furnished written sequence listing does not go beyond the disclosur international application as filed has been furnished.	
	The statement that the information recorded in computer readable form is identical to the written seque has been furnished.	nce listing
4.	The amendments have resulted in the cancellation of:	
	the description, pages None the claims, Nos. None the drawings, sheets/fig None	
5	This report has been established as if (some of) the amendments had not been made, since they have been consider beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).**	red t go
1 11	Replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are restricted as "originally filed" and are not annexed to this report since they do not contain amendments (Rules 70.16 and Any replacement sheet containing such amendments must be referred to under item 1 and annexed to this report.	eferred to in 70.17).

WRITTEN OPINION

International application No. PCT/IB00/00408

V. Reasoned statement under Rule 66.2(a)(ii) with regard t novelty, inventive step or industrial applicability; citations and explanations supporting such statement					
1. STATEMENT					
Novelty (N)	Claims	3-8,10-55,57-62,64-92,94 and 95	YES		
riovery (14)		1, 2, 9, 56, 63, 93	NO		
Inventive Step (IS)		39-55, 95	YES		
	Claims	1-38, 56-94	NO		
T descript Applicabilities (TA)	Claima	1.05	YES		
Industrial Applicability (IA)	Claims Claims	NONE	NO NO		
	Ciamis	HONE			
2 CITATIONS AND EXPLANATIONS					
Claims 1, 2, and 9 lack novelty under PCT Article 33(2) as being anticipated by HUNSBERGER et al. Hunsberger et al. disclose all the claimed elements including penetrating apex, protector means, and biasing means. In letter of 15 May 2001 Applicant argues that individual projections are not disclosed by Hunsberger; however such a limitation is not recited in the claims. Claims 56, 63, and 93 lack novelty under PCT Article 33(2) as being anticipated by STEPHENS. Stephens discloses, inter alia, a knife having a blunt apex and cutting means proximally situated to the blunt apex, as seen best in Fig. 4. Additionally Stephens discloses the proximal end of the knife is located proximally of the distal point of sleeve 34 sloping edge. In letter of 15 May 2001 Applicant points out demerits of the prior art; however the prior art discloses the recited limitations of the claimed invention. Claims 57-62, 64-67, 78-92, and 94 lack an inventive step under PCT Article 33(3) as being obvious over STEPHENS. The particulars recited in the dependent claims merely contain limitations that would be obvious to one of ordinary skill in the art to incorporate with the features disclosed by Stevens. In letter of 15 May 2001 Applicant points out limitations concerning the protector thickness width, and perimeter as well as limitations to the knife cutting line of his invention. Such changes in size relationships between the various elements would be obvious to the skilled artisan to achieve a desired objective. Claims 3-8, and 10-38 lack an inventive step under PCT Article 33(3) as being obvious over HUNSBERGER et al. The claims contain limitations that would be obvious to one of ordinary skill in the art to incorporate with the invention of Hunsberger. Claims 75-77 lack an inventive step under PCT Article 33(3) as being obvious over MOREJON. Morejon discloses an inflatable internal cuff and exterior flanged restraining means. It would be obvious to one of ordinary skill in the art to incorporate the particulars claimed in the depe					
Claims 39-55 and 95 meet the criteria set out in PCT Article 33(2)-(4), because the prior art does not teach or fairly suggest independently movable protector members as claimed.					
NEW CITATIONS	NEW CITATIONS				
US 5,713,869 A (MOREJON) 03 FEBRUARY 1998	3, See Figs 1	-3 and related text.			

Form PCT/IPEA/409 (Box V) (July 1998)

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No.

PCT/IB00/00408

VIII. Certain bservati ns on the internati nal application				
The following observations on the clarity of the claims, description, and drawings or on the questions whether the claims are fully supported by the description, are made:				
Claim 1 is objected to under PCT Rule 66.2(a)(v) as lacking clarity under PCT Article 6 because claim 1 is indefinite for the following reason(s): there is no antecedent basis for "said shield segments" in claim 1.				
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Form PCT/IPEA/409 (Box VIII) (July 1998)

constituted by the plane intersecting said cutting edge and said longitudinal central axis;

- 65. Device according to Claim 64, wherein said cutting plane is the symmetry plane of said sloping edge.
- 66. Device according to Claim 63, wherein there are more than one said cutting edge having differing said cutting planes.
- 67. Device according to Claim 66, wherein the number of said sloping edges corresponds

 10 to the number of said cutting planes
 - 68. Trocar assembly comprising:
 - portal with elongated tubular cannula and portal unit mounting means for mounting said portal unit in orifice of body cavity wall which has inner mounting means made as inflated cuff mounted on said tubular cannula, and there is connector means for said cuff connection to the external gas supply, said cuff being formed of an elastic expandable material.
 - 69. Device according to Claim 68, wherein there is an outer mounting means comprising restraining member movable along said tubular cannula, and resistance means precluding 20 spontaneous proximal displacement of said restraining member.
 - 70. Device according to Claim 69, wherein said restraining member has a flange and an orifice said tubular cannula passes through, and said resisting means is made as engagement means and has restraining member engagement protrusions situated on inner of said orifice, and tubular cannula engagement protrusions situated on outer surface of said tubular cannula.
 - 71. Device according to Claim 68, wherein said connection means comprises connector with rebound valve and a passage connecting said connector and said cuff and passing through the wall of said tubular cannula.

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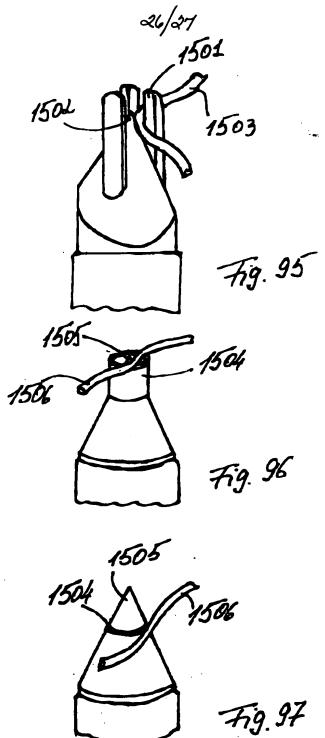
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- penetrating means situated on said penetrating end;
- a protector means for said penetrating means having at least one shield situated on said obturator and adapted to actuate between a retracted and an extended position when said shield protects said penetrating means;
- shield open part, this being the part of said shield which when said protector means is in said retracted position, is situated distally of the plane perpendicular to said longitudinal central axis and intersecting the proximal point of the section of outer surface of said shield protruding beyond the bounds of the members of said trocar assembly immovable with regard to said penetrating means and located distally of said cannula open distal end;
- common projection of outer surfaces of the members of said trocar assembly situated distally of said cannula open distal end onto the plane perpendicular to said longitudinal central axis, having the center in the intersect point of said plane and said longitudinal central axis;
- projection width of said shield and as such serves the distance between said common projection center and its most remote point on said shield projection outline;
- relative projection width of said shield outer outline which is the ratio of said projection area of shield outer outline to the area of the circle with radius equal to said projection width of said shield so that said relative projection area of said shield outer outline is always less than 0.4.
- 92. Device according to Claim 91, wherein said relative projection area of shield outer outline is less than 0.2.
 - 93. A safety trocar assembly comprising:
- trocar unit with penetrating means having at least two penetrating zones distal
 and proximal each of which has independent of each other responsive means
 which provide penetration of body tissue at the level of said proximal penetration
 zone occurs under higher tissue tension than penetration of tissue at the level of
 said distal penetration zone.
- 94. Device according to Claim 93, wherein said responsive means is presented in the form 30 f said penetrating means which at the level of said distal and proximal zones are made as cutting

members so that said distal cutting member is made sharper than said proximal cutting member.

95. Device according to Claim 93, wherein said responsive means are made in the form of protector member for each of said penetrating zones so that the displacement of said proximal protector member from the extended to the retracted position demands greater effort than the displacement of said distal protector member.



27/27

